



Visit our website at www.heartlandfunds.com

CHANGE OF REGISTRATION FORM (Do not use this form for an IRA)

OVERNIGHT DELIVERY TO:

Heartland Funds, c/o ALPS Fund Services,
1290 Broadway, Suite 1100, Denver, CO 80203

REGULAR MAIL TO:

Heartland Funds
P.O. Box 177, Denver, CO 80201-0177

FOR ASSISTANCE, PLEASE CALL A

SHAREHOLDER SERVICES REPRESENTATIVE
AT 1-800-432-7856 OR (414) 289-7000.

Return this form with a new account application to transfer ownership of your Heartland Funds account(s). Please note that the shares will remain in the same fund and a new account number will be assigned. If you wish to transfer the shares to a different fund, please note that this exchange constitutes a sale and purchase for federal tax purposes.

This form is for Individual Accounts, Joint Accounts, Trust Accounts and Uniform Gifts/Transfer to Minors Act (UGMA/UTMA). Do not use this form for IRA Accounts. If transferring shares from a corporation, trust, partnership, retirement plan, or as a result of the death of an owner, additional documents may be required. If you are transferring shares held in certificate form, you must submit the certificates with the form. For further instructions, call a Heartland representative at 1-800-432-7856.

1 CURRENT ACCOUNT INFORMATION

NAME(S) OF ACCOUNT OWNER(S)

PHONE NUMBER

STREET

CITY, STATE, ZIP

2 ACCOUNT NUMBERS AND AMOUNT OF TRANSFER

Account Number: _____

Account Number: _____

Account Number: _____

Check one:

All
 Dollars \$ _____
 Shares # _____

Check one:

All
 Dollars \$ _____
 Shares # _____

Check one:

All
 Dollars \$ _____
 Shares # _____

3 NEW ACCOUNT INFORMATION

Please attach a new Account Application.

NAME(S) OF ACCOUNT OWNER(S)

PHONE NUMBER

STREET

CITY, STATE, ZIP

4 CURRENT ACCOUNT OWNER(S) SIGNATURE

Guaranteed signatures of all current shareholders are required.

SIGNATURE OF ACCOUNT OWNER/TRUSTEE

DATE

SIGNATURE OF JOINT OWNER(S) IF ANY

DATE

MEDALLION SIGNATURE GUARANTEE (REQUIRED)

MEDALLION SIGNATURE GUARANTEE (IF NECESSARY)

A Medallion signature guarantee may be obtained from a commercial bank, savings and loan association, credit union, or broker-dealer. A notary public is not an acceptable guarantor.